



Fifth International PPP Forum-Geneva

Monday, 26 April 2021, 11:00-12:00

Making PPPs fit the 2030 Agenda

Organisers: CSEND¹ and WAPPP²:

This Side Event is co-organised by CSEND and WAPPP. During the session, PPP experts will present chapters of a new publication titled “Making PPPs fit the 2030 Agenda”. The research has been undertaken jointly by seven authors, all are members of WAPPP and two are also members of the UNECE PPP Bureau³. The intention of this paper is to contribute to the development of PPP theory and best practice and to align PPPs with the Sustainable Development Goals (SDGs). The seven chapters reflect the individual authors’ insights into different aspects of the current state of PPP development and areas for policy and practice improvement including: - The need for harmonized actions and - The evolving theoretical developments of PPP and their alignment with the 2030 SDG Agenda. The topics of the presentations will be:

- 1. *The Need for a High-Quality SDG 17.17.1 Indicator*
– Raymond Saner**
- 2. *Evolution of PPP Concepts and Practice* - Marc Filet**
- 3. *The Emergence of People-first PPP* -
Jean-Christophe Barth-Coullaré**

¹ Centre for Socio-Eco-Nomic Development, Geneva, ECOSOC accredited in consultative status, www.csend.org

² World Association of PPP Units & Professionals, <https://wappp.org/>

³ <https://unece.org/ppp/bureau>

- 4. When Public-Private Partnerships Put People First - David Baxter**
- 5. PPPs and ESG - Ziad Alexandre Hayek**
- 6. Intergenerational Redistributive Effects in Value for People and Value for the Future - Domingo Penyalver and Mateu Turró**
- 7. Closing Comments - Mateu Turró**

Time Flow

1. Introduction by Chair	Mme Elisabeth Tuerk , Director of the Economic Cooperation and Trade Division of the UNECE	
2. Overview of project	Prof R. Saner, CSEND	
3. Presentation of chapters	Prof. R. Saner	<i>The Need for a High-Quality SDG 17.17.1 Indicator</i>
	Marc Frilet	<i>Evolution of PPP Concepts and Practice</i>
	Jean-Christophe Barth- Coullaré	<i>The Emergence of People-first PPP</i>
	David Baxter	<i>When Public-Private Partnerships Put People First</i>
	Ziad Alexandre Hayek	<i>PPPs and ESG</i>
	Domingo Penyalver and Mateu Turró	<i>Intergenerational Redistributive Effects in Value for People and Value for the Future</i>
	Mateu Turró	<i>Closing Comments</i>
4. Question & Answers	Chair & Speakers	
5. Closure of Event	Chair	

CSEND and WAPPP thank the authors for their valuable contribution to the debate about how PPPs can be used for development and help countries achieve their sustainable development goals.

The paper is still under embargo.

It will be released to the public on 26 April 2021 at 11am on the occasion of the UNECE side event on both websites that is <https://wapppp.org> and <https://csend.org>

Preface

Making PPPs fit the 2030 Agenda (WAPPP)

Prof. Dr. Mahmoud Mohieldin

**UN Special Envoy for Financing the 2030 Agenda &
Executive Director at the International Monetary Fund**

This publication's focus on public-private partnerships (PPPs) could not come at a more opportune time. In addition to a catastrophic human toll, the COVID-19 pandemic has caused the annual financing gap to achieve the Sustainable Development Goals (SDGs) to balloon by 70 per cent to US\$4.2 trillion in 2020.⁴ With sovereign debt reaching unprecedented levels and fiscal space squeezed more than ever, the public sector cannot close the financing gap alone. With foreign direct investment levels also suffering a 42 per cent drop in 2020,⁵ the COVID-19 crisis risks derailing global efforts to achieve the SDGs altogether.

With the growing role of government around the world, as a response to the COVID-19 pandemic, PPPs could provide smart and pragmatic mechanisms for identifying the role of government in economic activities. They can optimize the benefits of government intervention and minimize disruptions to market dynamics. PPPs also stand as a crucial instrument at our disposal in mobilizing private finance to put the SDGs back on track with a view to achieving them by 2030. The close partnership that PPPs embody between the public and private sectors will be critical in aligning both public and private finance with the 2030 Agenda for Sustainable Development and will go a long way in establishing a basis for a solid post-COVID-19 recovery.

PPPs are also fully consistent with the spirit of inclusivity of the SDGs. The pandemic has revealed inequalities of all kinds, including about eligibility for support. Any assistance in designing or implementing PPPs must be made available not just to climate projects in the world's poorest countries, but to all the SDGs and all countries in need. If the pandemic has taught us anything, it is that we cannot recover from this crisis until all of us do.

⁴ OECD (2020). *Global Outlook on Financing for Sustainable Development 2021: A New Way to Invest for People and Planet*. Paris: OECD, 130 pp. <https://www.oecd.org/development/global-outlook-on-financing-for-sustainable-development-2021-e3c30a9a-en.htm>

⁵ UNCTAD (2021). *Investment Trend Monitor* Issue 38, January 2021. https://unctad.org/system/files/official-document/diaeiainf2021d1_en.pdf

Biographies of Speakers:

Raymond Saner is Titular Professor at Basle University, Department of Economics and Management, Switzerland since 2002 and teaches at Lüneburg University in Germany, Centre for Sustainability (CSM) and is jury member of Doctoral Ph.D. Committees. His research focuses on sustainable development (UNDESA), trade and development (UNCTAD/WTO), employment and poverty reduction (ILO, UNRISD), education (OECD, WTO/GATS), investment and climate change (UNCTAD, UNFCCC), and PPPs in the health and social sector (UNECE/WHO). Additionally, he was a member of expert groups drafting the Global Sustainable Development Report. Raymond co-founded CSEND (1993), an ECOSOC accredited research organisation. He co-chairs the academic network of the OECD Guidelines on Business and Human Rights, is member of the UN Task Force on Solidarity and Social Enterprises and expert in CSR quality standards. He (co)-authored 21 books and 25 referred journal articles. He served on the executive board of Academy of Management's Organization Development & Change division and chaired its Advisory Council for the Board of Governors and is vice-chairperson of the Bureau of the UNECE Working Party on Public-Private Partnerships

Marc Frilet is a Managing Partner of the Paris law firm Frilet -Société d'Avocats created after his departure from the Francis Lefebvre (CMS network) where he was partner and head of the construction infrastructure and projects division. His international 35 year professional focused on construction, infrastructure, concessions/ PPP and mining projects in France, French overseas territories and more than 20 countries with a strong involvement in Africa. He is the main author of several international standards, conditions of construction contracts, procurement and PPP regulations. He has created an International Research and Documentation Centre "Infrastructure, Concessions, PPPs and Mines", due to open in 2021. Marc is active in French and international associations of lawyers and industry and teaches construction and Concession/PPP laws at the University of Paris Pantheon Sorbonne and Stuttgart and mining laws at the French "Ecole des mines." Since 2015, Marc is the General Secretary of UNECE PPP International Centre of Excellence Policies, laws and Institutions addressing the main practical issues impairing the development of PPP meeting the SDG's in less developed countries.

Jean-Christophe Barth-Coullaré is the Executive Director of WAPPP. He has worked on Public-Private infrastructure projects in the rolling stock industry and conducted numerous contract negotiations and is a proponent of SDG-driven public-private collaboration. A banker, lawyer, and mediator by training, he believes in the power of consensual dispute resolution and dispute prevention. He regularly gives time as judge / mediator at the ICC International Mediation Competition to promote mediation advocacy. He is also a past Co-President of the Swiss Chamber of Commercial Mediation (skwm.ch). His advisory firm HASE Solutions has two business lines: deal mediation for international negotiations; and board governance. He has read Corporate Governance at Haute Ecole de Gestion and Transformational Leadership at the Geneva School of Diplomacy. Recently he published a guide on Early Stage Governance – an effective lever for the

development of start-ups with the European Champions Alliance (european-champions.org). He holds an Executive MBA from ESSEC & MANNHEIM Business School and earlier Law degrees. He is married and a father of two.

David Baxter is a South African by birth and currently resides in the USA. He has worked as a consultant in the international development field for over 30 years in the Americas, Europe, the Middle East, Africa, and South-East Asia. During his professional career he has increasingly focused on competitive and transparent infrastructure procurement and specifically on Public-Private Partnerships. He has worked on projects for the MCC, World Bank Group, Inter-American Development Bank, African Development Bank, USAID as well as for major development corporations. He is a strong proponent of developing sustainable resilient People First PPPs through his collaborations with WAPPP (Steering Committee Member) and the International Sustainable Resilience Center (ISRC). He holds a BA-Hons degree in Political Geography and a Master's Degree in Economic Geography, both of which were obtained from the University of Stellenbosch (South Africa). He was a former Executive Director of the Institute of Public Private Partnerships (IP3), a Washington D.C. based think tank and a global capacity building institution. He holds a Master's Degree in Economic Geography and an Education Diploma from the University of Stellenbosch.

Ziad Alexandre Hayek has 40 years of experience in investment banking, international finance, corporate strategy, corporate governance, public policy, and economic development. Hayek is President of the World Association of PPP Units & Professionals and Vice Chair of the UNECE Working Party on PPP and. Before that, he was Secretary General of Lebanon's High Council for Privatization and PPP, until 2019 when he was nominated to be President of the World Bank. Hayek spent 24 years in investment banking, as CEO of Lonbridge Associates, Senior Managing Director of Bear Stearns, President of Indosuez Mexico, Vice President of Salomon Brothers, and Head of International Securitization at Citibank. He also spent 16 years in public policy and socio-economic development, working for the governments of the US and Lebanon, and cooperating extensively with UN entities and multilateral development banks. He is a member of the boards of directors of several financial, commercial, NGO, and academic institutions. Hayek has arranged funding for infrastructure development and PPP projects valued at more than US\$27 billion. Hayek has a master's degree in International Management from the University of Texas at Dallas and is certified as "CEO of a Financial Institution" by the UK Financial Conduct Authority. He is a citizen of the US, the UK and Lebanon, and is conversant with 11 languages.

Dr. Domingo Penyalver is PPP Advisor of UNOPS for Latin America and the Caribbean Region and an internationally recognized researcher in the field of Transport Economics and Infrastructure Financing. He has more than 21 years' work experience in industry and academics. His fourteen years of Industry experience has focused on the fields of transport, investment analysis, territorial

planning and transport infrastructure construction as in-site engineer. His academic and research positions have been with the Polytechnic University of Catalonia (UPC) in Barcelona, the European Investment Bank (EIB) in Luxembourg, the Center of Innovation for Transport (CENIT). He is currently a senior researcher of the International Center of Numerical Methods for Engineering (CIMNE; www.cimne.com). He obtained his International DPhil in Transport Economics from the Polytechnic University of Catalonia, 2019; a MSc. in Transport and MEng. in Civil and Environment Engineering from the University Alfonso X El Sabio, 2004); and a BSc. in Public Construction Works from the Polytechnic University of Alicante, 1999. Penyalver has published multiple studies and academic papers in top peer-reviewed international journals.

Dr. Mateu Turró is a Honorary A. Director of the European Investment Bank (EIB) and a Professor (retired) of Transport Economics at Universitat Politècnica de Catalunya (UPC). He has a Doctorate in Engineering from the UPC (1979); a Master of Science from the University of Michigan (1975) and is Ingeniero de Caminos, Canales y Puertos (UP Madrid). He is a regular consultant/advisor on transport and urban development, focused on project appraisal, financing and PPPs. He is the chairman of the Advisory Council of CENIT (Centre for Innovation in Transport). Co-director of the professional course on cost-benefit and project appraisal organized by the Economists and Civil Engineers associations of Catalonia. Mateu Turró was Associate Director at the Projects Directorate of the EIB (1998-2009) and member of the Advisory Committee of Ministerio de Fomento (Spain) (2015-2019). He has been a reviewer of DGRegio “Guide to Cost-Benefit Analysis of Investment Projects.”. He has written multiple publications on transport and urban issues, including the books: “Going trans-European. Planning and financing transport networks for Europe” (Elsevier Science, 1999); “RAILPAG. Rail Project Appraisal Guidelines” (EIB and European Commission, 2004); and “The infrastructure investment needs and financing challenge of European Ports”, as a co-author, for the European Seaports Organization, 2018.

Reference

Publication is available on WAPPP website